

YAO-3750US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#2/105
PATENT
9/29/98
11/14

31036 U.S. PTO
09/922978



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| Applicant: | Kazuhisa Yamamoto et al. | : Art Unit: |
| Serial No.: | To Be Assigned | : Examiner: |
| Filed: | Herewith | |
| For: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND METHOD OF PRODUCING OPTICAL DEVICE | | |

Divisional of:

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|---|--------------------------|-------------|--------------|
| Applicant: | Kazuhisa Yamamoto et al. | : Art Unit: | 2881 |
| Serial No.: | 08/973,380 | : Examiner: | G. Inzirillo |
| Filed: | March 16, 1998 | : Allowed: | June 5, 2001 |
| For: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND METHOD OF PRODUCING OPTICAL DEVICE | | | |

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

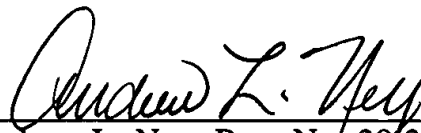
Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. Under 37 C.F.R. § 1.98(d), copies of the patents and publications are not required to be provided, because they were cited by or submitted to the Patent and Trademark Office in prior application, Serial No. 08/973,380, filed March 16, 1998, which is relied upon for an earlier filing date under 35 U.S.C. § 120.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,



Andrew L. Ney, Reg. No. 20,300
Attorney for Applicants

Enclosure: PTO Form 1449 (1 page)

Dated: August 6, 2001
P.O. Box 980
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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Kathleen Libby

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

**Information Disclosure
Statement by Applicant**

(Use several sheets if necessary)

ATTY. DOCKET NO.

YAO-3750US1

SERIAL NO

To Be Assigned

Applicant

Kazuhisa Yamamoto et al.

FILING DATE

Herewith

GROUP

J1036 U.S. PTO

09/922976



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| Exmr Initial | Document Number | Date | Name | Class | Sub Class | Filing Date |
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| 1) | K. Mizuuchi et al., "Second-Harmonic Generation of Blue Light in a LiTaO ₃ Waveguide", <u>Applied Physics Letters</u> , vol. 58, no. 24, pp. 2732-2734 (1991). |
| 2) | International Search Report corresponding to PCT/JP96/01472 dated 10/8/96. |

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|----------|-----------------|
| Examiner | Date Considered |
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.